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**Nano-point-geometry**  
**for use in material science**

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# Nano-point-geometry for use in material science

1 microstructure

2 determination of height of voxels

3 landscape of voxels

4 roughening of a surface (Sandstrahlen)

# 0. Introduction

There exist different kinds of surfaces - two of these are

- surfaces in the differential geometry (line-geometry)
- surfaces of real objects (point-geometry)

Especially the characterisation of the surface

of a planar metallic plate

during different steps of a plastic deformation

needs a geometric description of point-geometry, because microscopy gives point-information in a coordinate-system of micro- or nano-meters.

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When we examine the microstructure of a material we are looking at a very small sample of a stick (e.g.  $1 \times 2 \mu m^2$ , produced by a microscope, recorded as  $1\,000 \times 2\,000$  pixels with area  $1 \times 1 nm$ ) of a quite pure material.

From this limited view we try to understand how the properties of the whole stick ( $20 \times 100 mm^2 = 2 \cdot 10^4 \times 10^5 \mu m^2$ ) relate to its small sample - if there is a relation (remember the cracks in the wheels of trains).

But, when we measure only the macroscopic properties of material (such as tensile strength<sup>1</sup>), hardness, density or electrical conductivity), we use a much larger specimen - in the majority of cases these specimens are a mean of all small samples.

It should not be surprising, therefore, that it is difficult thus to establish correlations between macroscopic properties and the microstructure in a „representative“ sample.

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<sup>1</sup>) tensile strength= Zugprobe

# 1 Microstructure

## 1.1 Aluminium, basic principles

atomic radius:  $0.143 \text{ nm}$

cells of ( $8+6=14$ ) aluminium-atoms in a face centered cube (fcc):

lattice constant:  $a = 0.404 \text{ nm}$

lattice of (monocrystal)  $\alpha$ -aluminium which is constructed by these cells  
lattice of alpha-Aluminium, fcc

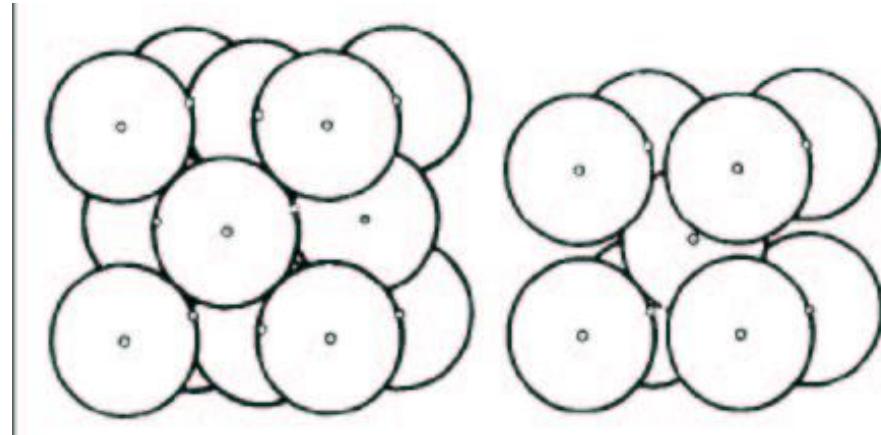
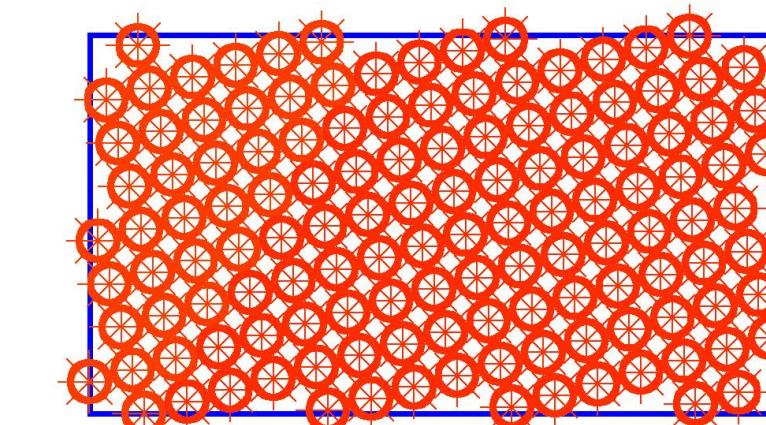


fig. 1.1.1:

left: fcc - right: bcc  
kubisch - kubisch  
flächenzentriert - raumzentriert



tr1003a.bas

fig. 1.1.2:  
grid of  $\alpha$ -aluminium (monocrystal)

lattice vacancy and dislocation (that means a non-occupied place in a regular lattice) is a crystallographic defect, or irregularity, within a crystal structure of the atoms. Theoretically only at temperature of  $0\text{ K}$  all regular lattice sites are occupied. But especially during crystallisation from a liquid to a solid phase we usually find

$10^8$  lattice vacancies per  $\text{cm}^3$  at  $20^\circ\text{C}$ ,

and the concentration of lattice vacancies increases exponentially with temperature.

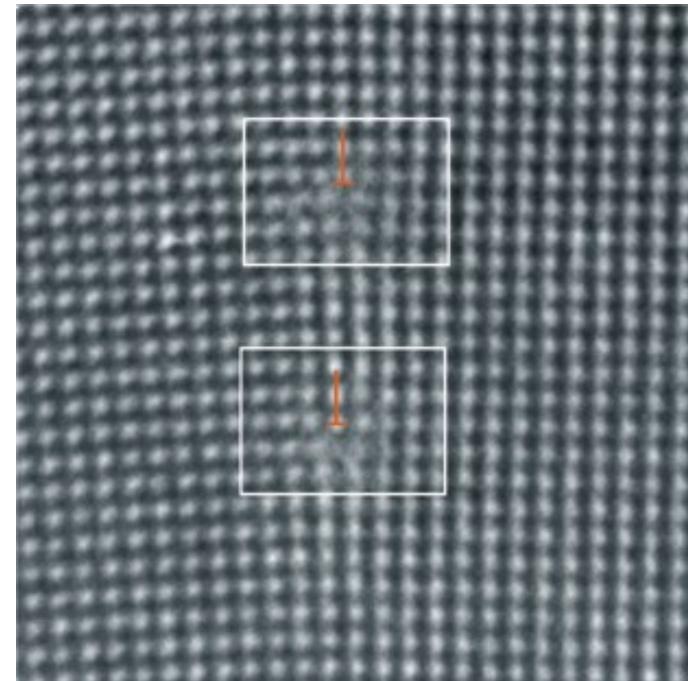


fig. 1.1.3:  
lattice vacancy and dislocation  
 $10^8$  lattice vacancies per  $\text{cm}^3$  at  $20^\circ\text{C}$

$$(6 \times 6 \text{ nm}^2)$$

The presence of dislocations strongly influences many of the properties of materials.

Therefore in nano-geometry we have no continuity and no lines - but points and statistics.

## 1.2 Macrostructure: Plastic deformation of metals

When a crystal is deformed elastically under influence of applied stresses, it returns to its original state upon removal of the stresses.

However, if the applied stresses are sufficiently large, a certain amount of deformation remains after removal of the stresses: the crystal has been plastically deformed.

Experimental facts for monocrystal Aluminia (Einkristalle aus Aluminium):

We assume that we have a monocrystal (99.5% Al). Then we have a (nearly) regular lattice of atoms (*fig. 1.1.2*) shows a 2-dimensional cut). But each of these atoms (at „normal“ temperatures) is always busy.

We want to understand (or to model) the process of cracking - which starts with elastic deformation, and with increase of tension begins a plastic deformation and ends with a crack.

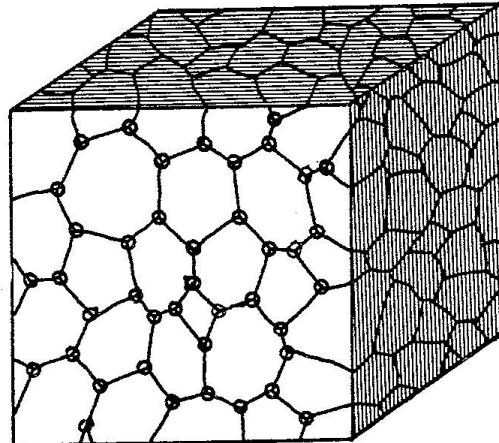
Here we study the dependence between tension and the appearance of „slip bands“, which result from the sliding of one part of a crystal relative to another, and we want to determine the height and the slip-direction of each slip band in dependence of tension.

Plastic deformation is inhomogenous in the sense that that only a small number of atoms actually take part in the slip process.

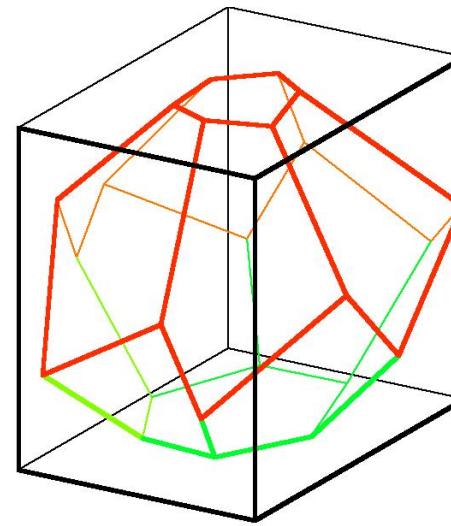
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„Real“ materials are not monocrystal: during solidification cluster or „dendrites“ are produced from cells of atoms, and after solidification we find „grains“, which have uncalculable structures - and in this case slip bands occure at the boundaries of grains.

1. all microstructures are irregular in the sense that no two grains in a specimen of metal are of exactly the same size and shape,
2. nevertheless all this different grains of the structure are fitted together to fill space (therefore it is not possible that they all are convex),



*fig. 1.2.1:* grains  
( $50 \times 50 \times 50 \mu\text{m}^3$ )



*fig. 1.2.2:: grain, 3D*  
( $15 \times 15 \times 15 \mu\text{m}^3$ ),  $2 \cdot 10^{14} \text{ atoms}$

Therefore we use monocrystal materials for our research.

## slip process due to a tensile stress (=Dehnungs-Beanspruchung)

Slip process due to a tensile stress, step 1

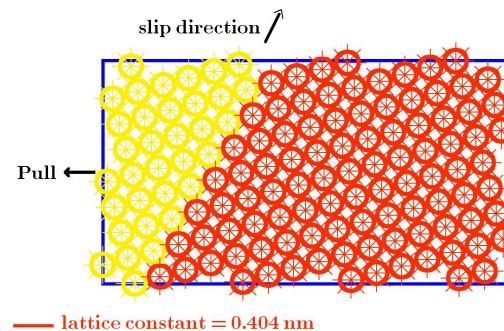


fig. 1.2.3:

the right part starts slipping

Slip process due to a tensile stress, step 2

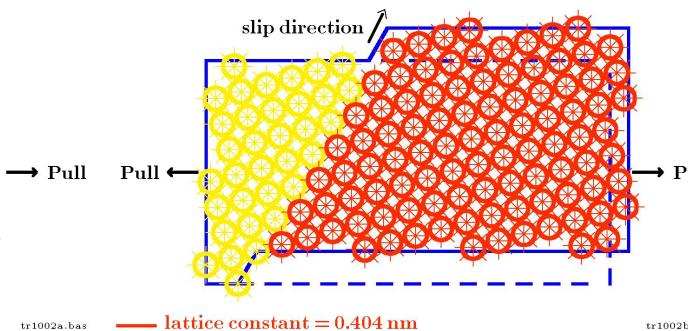


fig. 1.2.4:

the right part starts slipping

Slip process due to a tensile stress, step 3

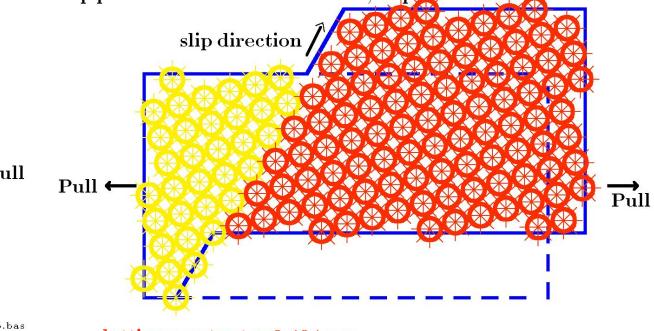


fig. 1.2.5:

the right part slips

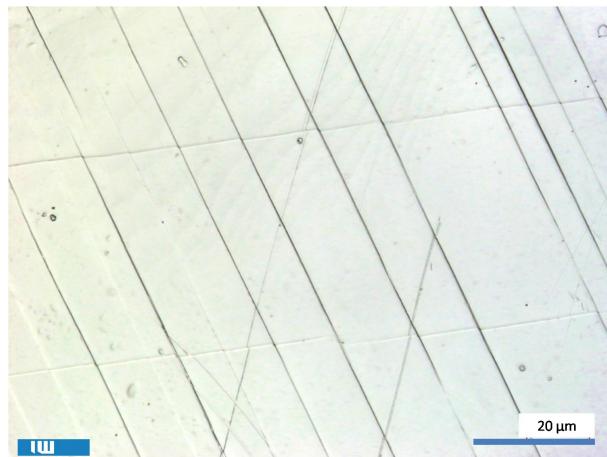
It may be that this slipping reach a height of  $10\text{ nm}$  - or  $200$  slip steps.

(a human hair has a diameter of about  $70\text{ nm}$ ).

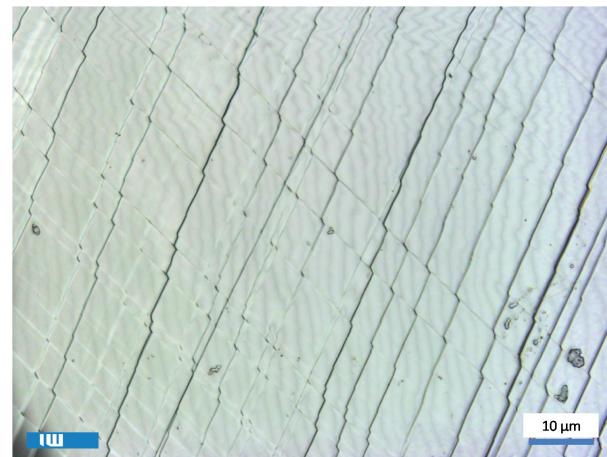
But we have to remember, that our drawing neglects:

$$10^8 \text{ lattice vacancies per } \text{cm}^3 \text{ at } 20^\circ C$$

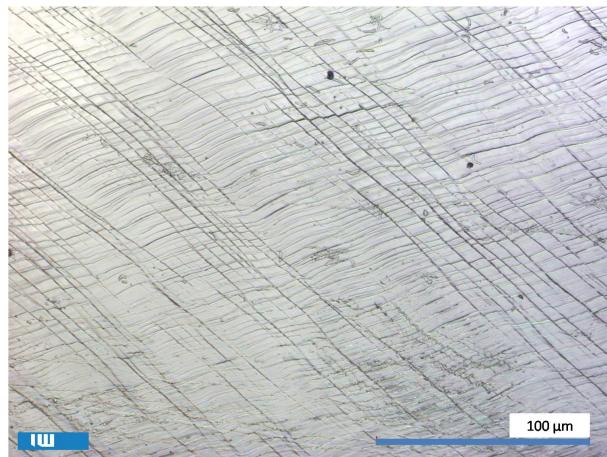
In a 3D-sample the result of a slipping process is called „slip band“.



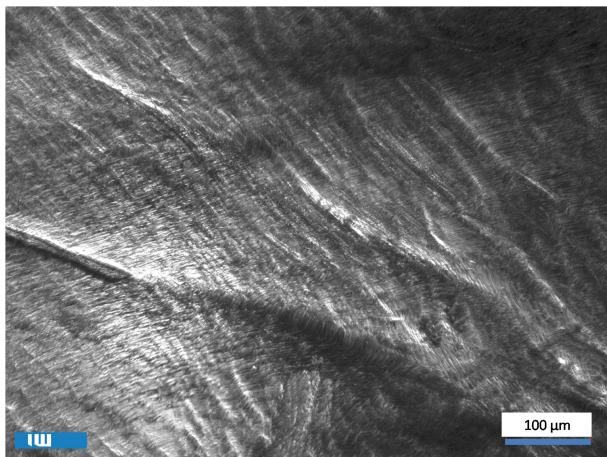
*fig. 1.2.6:*  
Al,  $100 \times 70 \mu m$   
 $\varphi_Z = -0.05, 95\%$



*fig. 1.2.7:*  
Al,  $88 \times 62 \mu m$   
 $\varphi_Z = -0.10, 90\%$



*fig. 1.2.8:*  
Al,  $285 \times 200 \mu m$   
 $\varphi_Z = -0.16, 85\%$



*fig. 1.2.9:*  
Al,  $800 \times 560 \mu m$   
 $\varphi_Z = -0.43, 65\%$

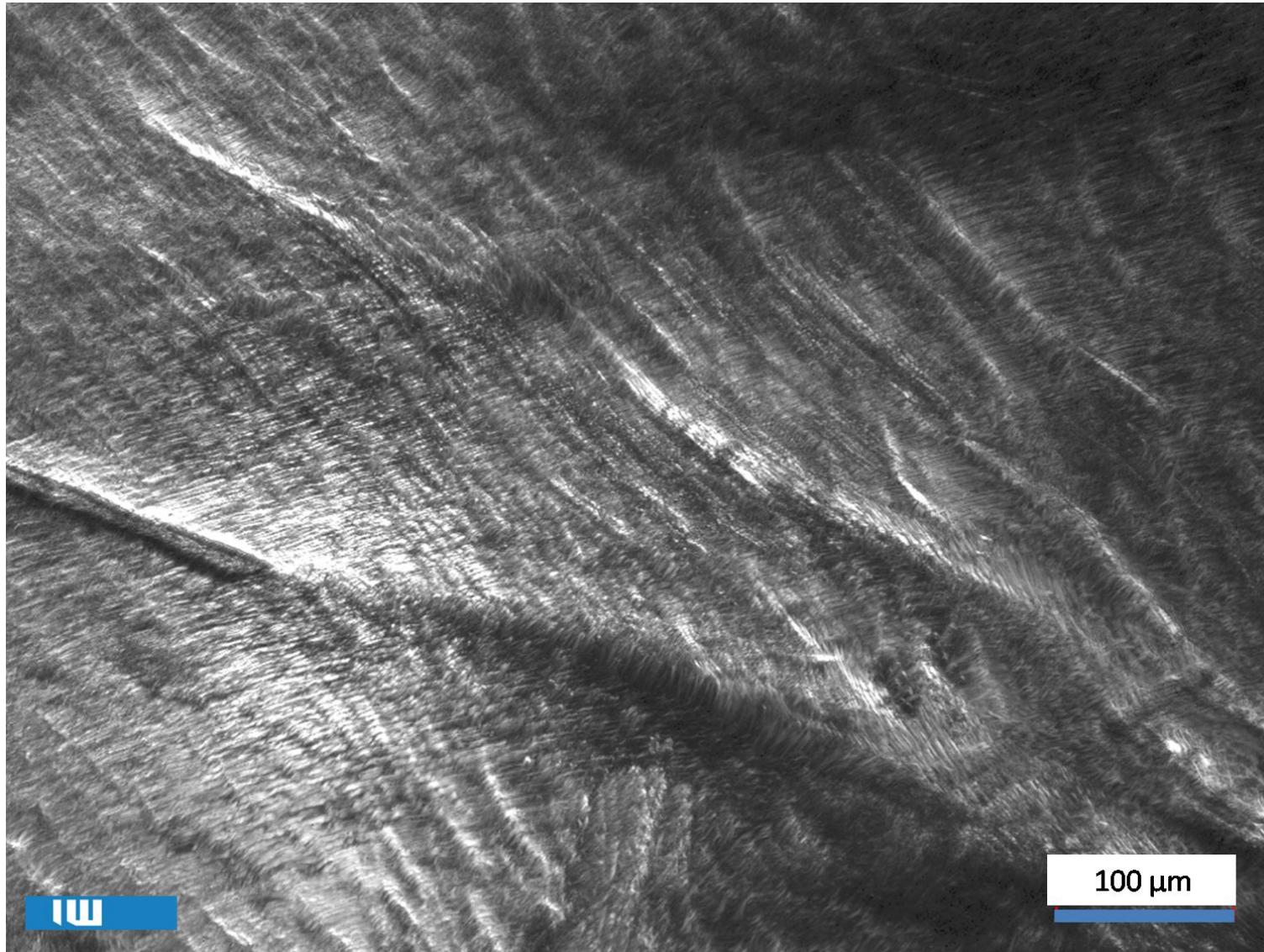


fig. 1.2.9: slip bands

## 2 determination of height of voxels

We determine the surface of our stick of  $\alpha$ -aluminium by a special laser-microscope. The result of this procedure is a grid of  $n \times m$  pixels where each pixel has its own height: we will call it „voxel“.

From a very small part  $\mathcal{P}$  of the surface of the stick we get pixels  $p(i, j)$  with  $-n_i \leq i \leq n_i$  und  $-n_j \leq j \leq n_j$  and also the distances  $d(i, j)$  between the microscope and the pixel  $p(i, j)$ . From this we have to determine the height  $z(i, j)$  of the voxel  $V(i, j, z(i, j))$ .

In a first step we assume that the microscope has a position „perpendicular“ to  $\mathcal{P}$ .

What is „perpendicular“ to  $\mathcal{P}$ ?

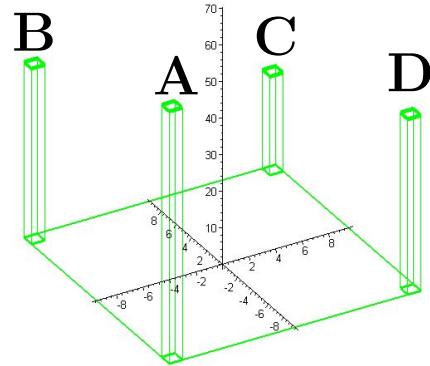
We introduce a simple model:

		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
		9	-8	-7	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6	7	8	9
1	9	48	48	48	48	41	41	41	41	41	34	34	34	34	34	27	27	27	27	27
2	8	48	48	48	48	48	41	41	41	41	41	34	34	34	34	34	27	27	27	27
3	7	55	48	48	48	48	48	41	41	41	41	41	34	34	34	34	34	27	27	27
4	6	55	55	48	48	48	48	48	41	41	41	41	41	34	34	34	34	34	27	27
5	5	55	55	55	48	48	48	48	48	41	41	41	41	34	34	34	34	34	27	27
6	4	55	55	55	55	48	48	48	48	48	41	41	41	41	34	34	34	34	34	34
7	3	55	55	55	55	55	48	48	48	48	48	41	41	41	41	41	34	34	34	34
8	2	62	55	55	55	55	55	48	48	48	48	48	41	41	41	41	41	34	34	34
9	1	62	62	55	55	55	55	55	48	48	48	48	48	41	41	41	41	41	34	34
10	0	62	62	62	55	55	55	55	48	48	48	48	48	48	41	41	41	41	41	34
11	-1	62	62	62	62	55	55	55	55	55	48	48	48	48	48	41	41	41	41	41
12	-2	62	62	62	62	62	55	55	55	55	55	48	48	48	48	48	41	41	41	41
13	-3	69	62	62	62	62	62	55	55	55	55	55	48	48	48	48	48	41	41	41
14	-4	69	69	62	62	62	55	62	55	55	55	55	48	48	48	48	48	41	41	41
15	-5	69	69	69	62	62	62	62	55	55	55	55	55	48	48	48	48	48	41	41
16	-6	69	69	69	69	62	62	62	62	62	55	55	55	55	55	48	48	48	48	48
17	-7	69	69	69	69	69	62	62	62	62	55	55	55	55	55	48	48	48	48	48
18	-8	69	69	69	69	69	69	62	62	62	62	55	55	55	55	55	48	48	48	48
19	-9	69	69	69	69	69	69	69	62	62	62	62	55	55	55	55	55	48	48	48

table 2.0.1:  $19 \cdot 19 = 361$  voxels

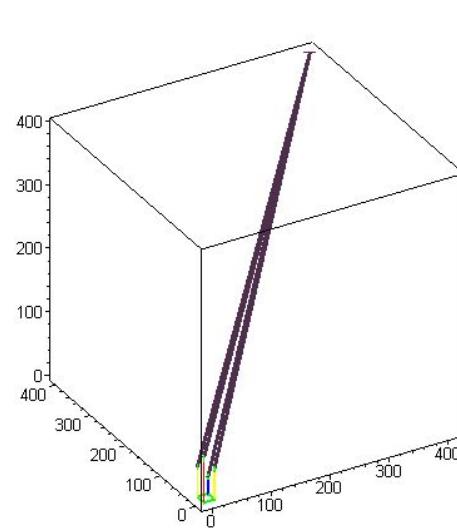
(ground area  $1 \times 1$  units) in a plane, then 7 units upstairs

## 2.1 perpendicularity



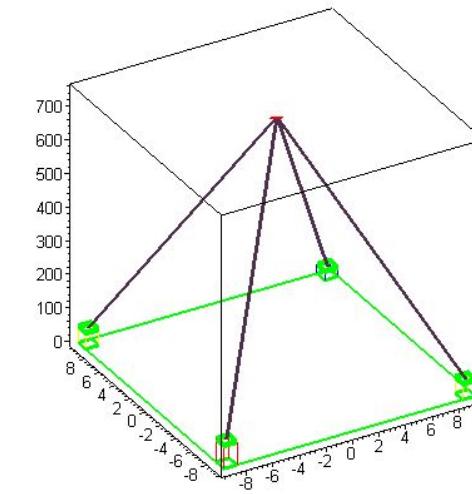
*fig. 2.1.1:* position of the four vertices  
with levels  
 $A = (-9, -9, 69)$ ,  $B = (-9, 9, 48)$

$$C = (9, 9, 27), D = (9, -9, 48)$$



*fig. 2.1.2:* position of a microscope  
which is used to determine  
the height of voxels:

$$P = (438, 438, 424)$$



*fig. 2.1.3:* position of a microscope  
which is used to determine  
the height of voxels:

$$P_0 = (0, 0, 751)$$

In *fig. 2.1.2* we use a point  $P$  such that the distances between  $P$  and the 4 voxels are equal

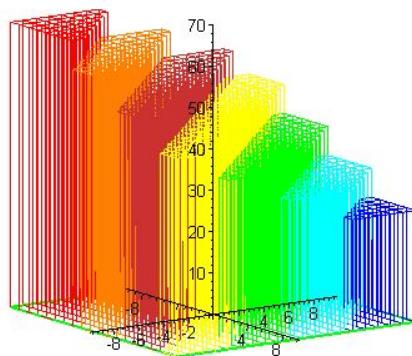
$$(d(P, A) = d(P, B) = d(P, C) = d(P, D) = 725).$$

In *fig. 2.1.3* we use a point  $P_0$  such that  $P_0$  is a point of the  $z$ -axis and the distance between  $(0, 0, 0)$  and  $P_0$  is equal to the distance between  $(0, 0, 0)$  and  $P$ .

If we use the microscope-position  $P_0$  then we will get the results of *table 2.0.1*, which looks like *fig.*

**2.1.4.** - But if we use the microscope-position  $P$  then we will get another result:

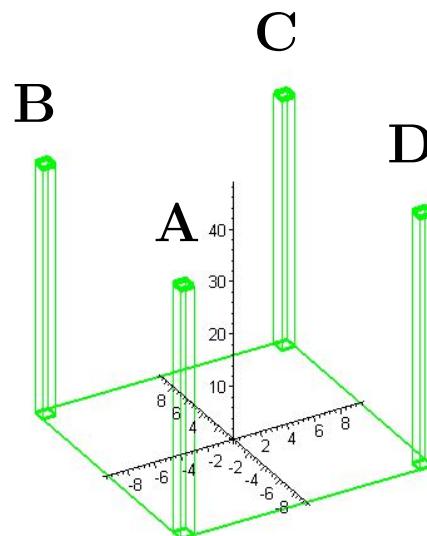
*fig. 2.1.5* shows, that the four vertices have the same height. And *fig. 2.1.6* shows that it seems that there is no slip band between the 361 voxels, because the height differs between 45 and 52 (and not between 27 and 69)



*fig. 2.1.4:*  
voxels of *table 2.0.1*

position of the microscope:

$$P_0 = (0, 0, 751)$$



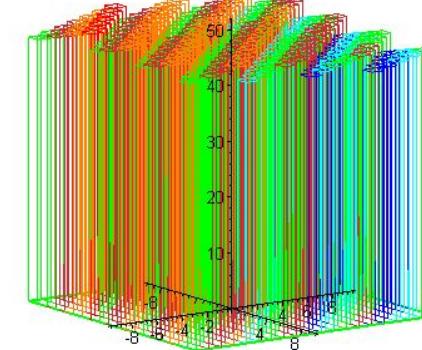
*fig. 2.1.5:*  
position of the four vertices  
position of the microscope:

$$P = (438, 438, 424)$$

with levels

$$A = (-9, -9, 48), B = (-9, 9, 48)$$

$$C = (9, 9, 48), D = (9, -9, 48)$$



*fig. 2.1.6:*  
voxels of *table 2.0.1*

position of the microscope:

$$P = (438, 438, 424)$$

	9	-8	-7	-6	-5	-4	-3	-2	-1	0	1	2	3	4	5	6	7	8	9		
9	47	48	49	49	46	47	47	48	49	46	46	46	47	47	48	45	45	45	46	46	47
8	47	48	48	49	49	46	47	48	48	49	46	46	47	47	47	48	45	45	45	46	46
7	50	47	48	48	49	49	46	47	48	48	49	46	46	47	47	48	45	45	45	46	46
6	49	50	47	48	48	49	50	46	47	48	48	49	46	46	47	47	48	45	45	45	45
5	49	49	50	47	48	48	49	50	47	47	48	48	49	46	46	47	47	48	45	45	45
4	48	49	50	50	47	48	48	49	50	47	47	48	48	49	46	46	47	47	48	48	48
3	48	48	49	50	50	47	48	48	49	50	47	47	48	48	49	46	46	47	47	47	47
2	51	48	48	49	50	50	47	48	49	49	50	47	47	48	48	49	46	46	47	47	47
1	50	51	48	49	49	50	50	47	48	49	49	50	47	47	48	48	49	46	46	46	46
0	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	48	48	49	46	46	46
-1	49	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	48	48	49	49	49
-2	48	49	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	48	48	48	48
-3	51	48	49	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	48	48	48
-4	51	51	49	49	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	47	47
-5	50	51	51	49	49	50	50	51	48	49	49	50	50	47	48	49	49	50	47	47	47
-6	50	50	51	51	49	49	50	50	51	48	49	49	50	50	47	48	48	49	49	50	50
-7	49	50	50	51	51	49	49	50	50	51	48	49	49	50	50	47	47	48	48	49	49
-8	48	49	50	50	51	51	49	49	50	50	51	48	49	49	50	50	47	47	48	48	48
-9	48	48	49	50	50	51	51	49	49	50	50	51	48	49	49	50	50	47	47	48	48

table 2.1.1: determination of the height of table 2.0.1 with a microscope-position  $P = (438, 438, 424)$ 

$$19 \cdot 19 = 361 \text{ levels between 45 and 52}$$

There is no possibility for determinating a slip band in this view of  $\mathcal{P}$  of the surface of the stick.

And we did not find a perpendicular to  $\mathcal{P}$ . - We need new concepts.

# 3 landscape of voxels

## 3.1 landscape with hills and valleys

Let us start with a simple example: We construct a „landscape“ with hills and valleys, and we will define an „orientation of a valley“ and the detection of slip bands, their height and their orientation.

6	6	5	5	4	4	3	2	0	0	1	2	1	0	0	2	4	6	8	9	9	8	7	5	3	1	0	0	1	2	
5	5	4	4	3	3	2	1	0	1	1	2	1	0	0	1	5	7	9	8	7	6	5	3	2	0	1	1	1	2	
4	4	4	3	4	3	2	1	0	1	1	2	2	1	0	3	6	9	9	8	7	4	2	0	0	0	1	2	2	2	3
4	4	4	5	5	4	3	2	0	1	1	2	1	0	2	4	7	9	7	5	3	1	0	0	1	2	3	3	3	4	
3	4	5	6	6	5	4	3	0	2	2	1	1	0	2	4	7	9	7	4	2	0	0	1	1	2	3	4	4	5	
4	5	6	6	7	7	6	6	5	0	1	2	1	0	3	5	7	9	8	6	4	2	0	1	1	2	3	4	5	6	
5	6	8	8	8	8	7	8	8	6	0	1	1	0	2	5	8	9	8	7	5	3	0	1	2	3	4	5	6	7	
7	8	9	9	9	9	9	9	9	9	5	0	1	1	0	4	6	9	7	6	4	2	1	0	1	2	3	4	5	6	
9	9	8	8	8	9	9	8	8	9	9	4	0	0	0	3	7	9	8	6	5	3	2	0	0	1	2	3	4	5	
8	8	7	6	6	7	8	8	7	8	9	9	4	2	0	4	7	9	9	7	6	5	3	1	0	1	2	3	4	5	
7	6	5	5	5	6	7	7	7	8	8	9	9	5	2	0	5	7	9	8	7	5	4	2	1	0	1	2	3	3	
5	4	2	3	4	3	6	5	6	5	7	8	9	9	6	2	0	2	9	9	8	8	7	5	3	1	0	1	2	2	
3	1	0	1	2	1	4	4	5	4	6	7	9	9	6	3	0	0	3	9	8	7	6	5	3	2	1	0	0	0	
3	2	1	0	0	1	2	2	3	4	5	7	9	9	7	4	2	0	1	4	9	7	6	6	5	4	3	1	1	1	
2	2	1	0	0	0	0	2	3	3	5	7	9	9	7	4	3	2	0	5	9	9	8	7	6	5	4	3	2	2	
3	3	2	1	1	1	1	0	1	2	4	6	8	9	9	8	6	4	2	0	4	9	9	8	7	6	5	4	4	3	
4	4	3	2	2	1	1	0	2	3	5	7	8	9	9	8	5	3	1	0	2	5	7	9	7	7	7	6	5	5	5
5	5	4	3	3	2	1	0	1	3	5	7	8	9	9	8	6	4	2	1	0	1	2	8	9	9	8	8	7	8	
4	5	5	4	4	2	0	2	3	4	6	7	8	9	9	7	6	5	3	2	1	0	2	5	7	9	9	9	7	7	
3	5	7	5	3	1	0	1	3	5	7	8	8	9	9	7	6	5	4	3	2	0	1	3	5	6	7	9	9	8	

table 3.1.1: „landscape“, constructed from the heights of 30 columns and 20 rows

## concept 1: colour

6	6	5	5	4	4	3	2	0	0	1	2	1	0	0	2	4	6	8	9	9	8	7	5	3	1	0	0	1	2	
5	5	4	4	3	3	2	1	0	1	1	2	1	0	0	1	5	7	9	8	7	6	5	3	2	0	1	1	1	2	
4	4	4	3	4	3	2	1	0	1	1	2	2	1	0	3	6	9	9	8	7	4	2	0	0	0	1	2	2	2	3
4	4	4	5	5	4	3	2	0	1	1	2	1	0	2	4	7	9	7	5	3	1	0	0	0	1	2	3	3	4	
3	4	5	6	6	5	4	3	0	2	2	1	1	0	2	4	7	9	7	4	2	0	0	0	1	1	2	3	4	4	5
4	5	6	6	7	7	6	6	5	0	1	2	1	0	3	5	7	9	8	6	4	2	0	1	1	2	3	4	5	6	
5	6	8	8	8	8	7	8	8	6	0	1	1	0	2	5	8	9	8	7	5	3	0	1	2	3	4	5	6	7	
7	8	9	9	9	9	9	9	9	9	5	0	1	1	0	4	6	9	7	6	4	2	1	0	1	2	3	4	5	6	
9	9	8	8	8	9	9	8	8	9	9	4	0	0	0	3	7	9	8	6	5	3	2	0	0	1	2	3	4	5	
8	8	7	6	6	7	8	8	7	8	9	9	4	2	0	4	7	9	9	7	6	5	3	1	0	1	2	3	4	5	
7	6	5	5	5	6	7	7	7	8	8	9	9	5	2	0	5	7	9	8	7	5	4	2	1	0	1	2	3	3	
5	4	2	3	4	3	6	5	6	5	7	8	9	9	6	2	0	2	9	9	8	8	7	5	3	1	0	1	2	2	
3	1	0	1	2	1	4	4	5	4	6	7	9	9	6	3	0	0	3	9	8	7	6	5	3	2	1	0	0	0	
3	2	1	0	0	1	2	2	3	4	5	7	9	9	7	4	2	0	1	4	9	7	6	6	5	4	3	1	1	1	
2	2	1	0	0	0	0	2	3	3	5	7	9	9	7	4	3	2	0	5	9	9	8	7	6	5	4	3	2	2	
3	3	2	1	1	1	1	0	1	2	4	6	8	9	9	8	6	4	2	0	4	9	9	8	7	6	5	4	4	3	
4	4	3	2	2	1	1	0	2	3	5	7	8	9	9	8	5	3	1	0	2	5	7	9	7	7	7	6	5	5	
5	5	4	3	3	2	1	0	1	3	5	7	8	9	9	8	6	4	2	1	0	1	2	8	9	9	8	8	7	8	
4	5	5	4	4	2	0	2	3	4	6	7	8	9	9	7	6	5	3	2	1	0	2	5	7	9	9	9	7	7	
3	5	7	5	3	1	0	1	3	5	7	8	8	9	9	7	6	5	4	3	2	0	1	3	5	6	7	9	9	8	

table 3.1.2: „landscape“, constructed from table 3.1.1  
colored:

0 - 1 - 2 - 3 - 4 - 5 - 6 - 7 - 8 - 9

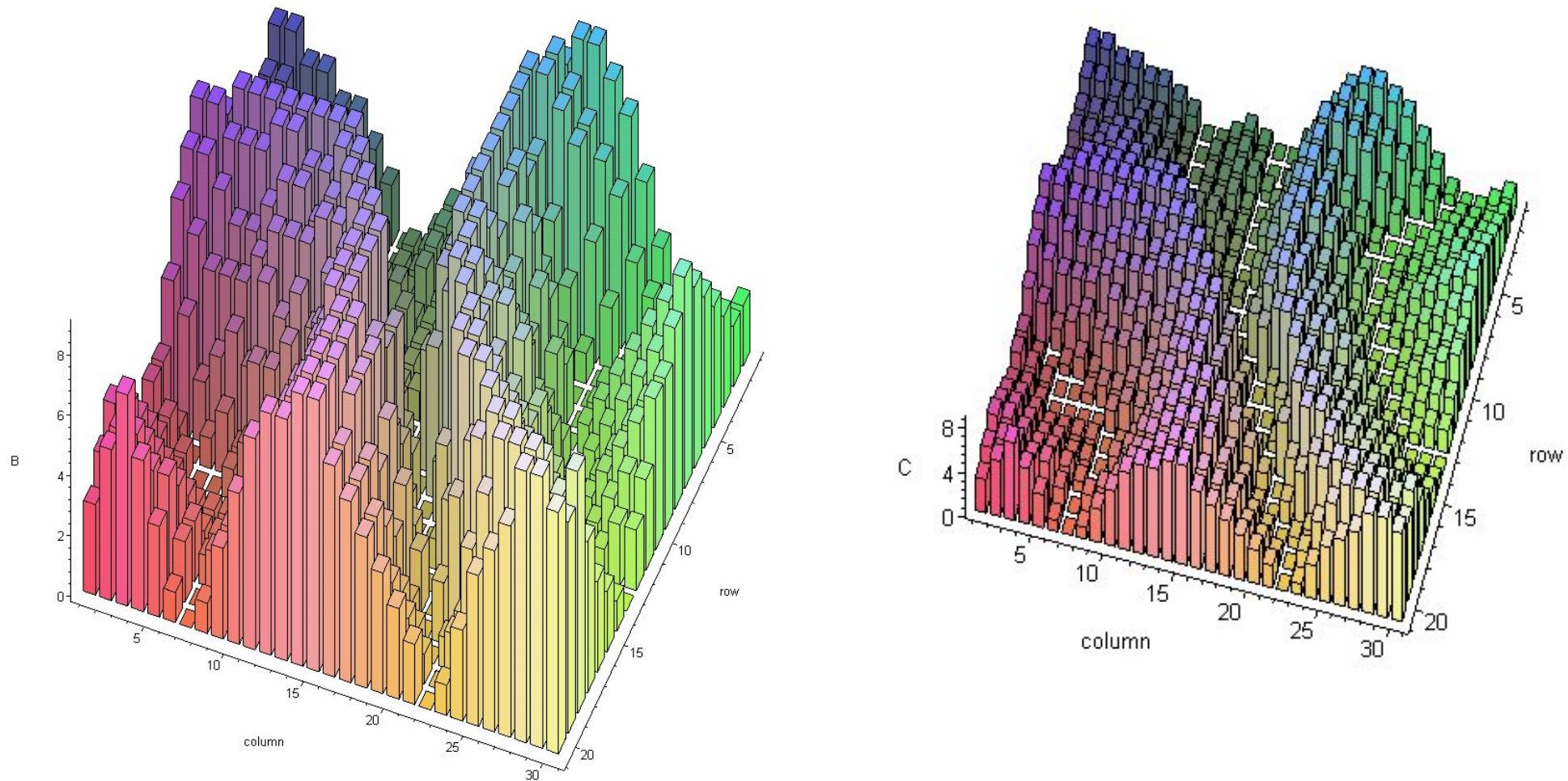


fig. 3.1.1: „landscape 3D“, constructed from *table 3.1.1*

## level curve to data file tr0908ch.txt

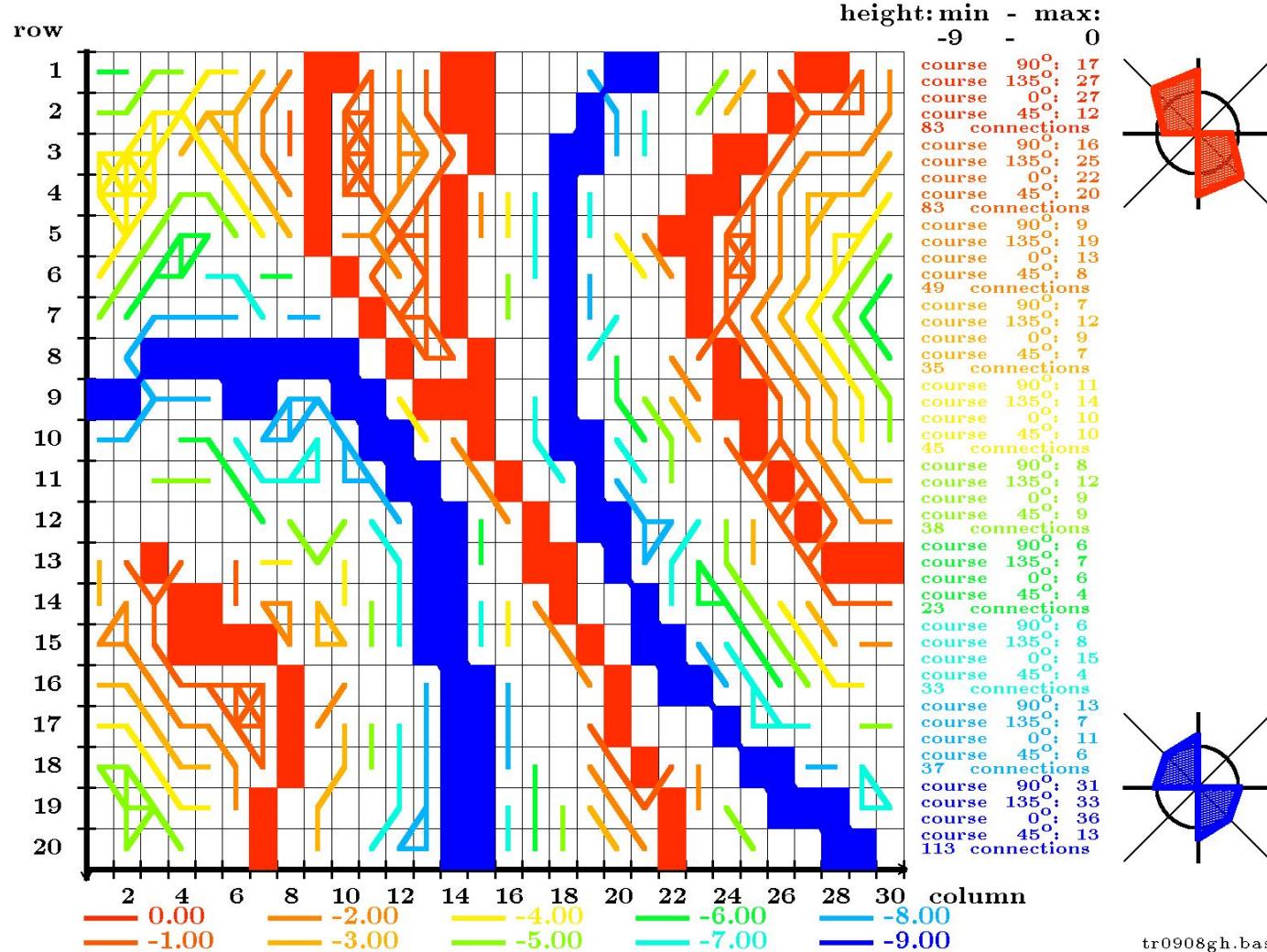


fig 3.1.2: „landscape“, constructed from table 3.1.1

additional: lines as connection between neighbor-pixels with the same height

for each class of height: number of lines with the same orientation

## 3.2 slip bands

definition 3.2.1: A „landscape  $L(s, z)$  of voxels“ is a rectangle of  $s$  columns and  $z$  rows, if for each  $(a, b)$  with  $1 \leq a \leq s$  and  $1 \leq b \leq z$  there exists a real number  $h(a, b) \in \mathbb{R}$  which we will call the „height of the pixel  $(a, b)$ “.

We look at a „landscape  $L(s, z)$ “ with hills and valleys, and we want to find slip bands in this landscape.

definition 3.2.2: Let  $L(s, z)$  be a landscape and  $h_{min} := \min \{h(a, b); 1 \leq a \leq s \text{ and } 1 \leq b \leq z\}$  and  $h_{max} := \max \{h(a, b); 1 \leq a \leq s \text{ and } 1 \leq b \leq z\}$ . If  $h_{min} < h_{max}$  and  $n_h \in \mathbb{N}$ , then we define a „height-class“

$$T(i) := \left\{ z; h_{min} + i \cdot \frac{h_{max} - h_{min}}{n_h} \leq z \leq h_{min} + (i + 1) \cdot \frac{h_{max} - h_{min}}{n_h} \right\} \quad \text{for } 0 \leq i \leq n_h - 1$$

definition 3.2.3: Let  $L(s, z)$  be a landscape and  $A = (a_0, b_0) \in L(s, z)$ . Then a „rectangle“  $R_{a,b}(A)$  is defined by

$$R_{a,b}(A) := \{(x, y), a_0 \leq x \leq a_0 + a \text{ and } b_0 \leq y \leq b_0 + b\}.$$

definition 3.2.4: A pixel  $p = (x, y)$  in a rectangle  $R$  has the „orientation  $0^\circ$  with respect to  $R$ “, if there exists a number  $i$  with  $0 \leq i \leq n_h - 1$  such that the pixel  $p$  and the pixel 2 (or the pixel 6) in *fig 3.2.3* both are in the height-class  $T(i)$ .

$p$  has the „orientation  $45^\circ$  with respect to  $R$ “, if there exists a number  $i$  with  $0 \leq i \leq n_h - 1$  such that the pixel  $p$  and the pixel 3 (or the pixel 7) in *fig 3.2.3* both are in the height-class  $T(i)$ .

$p$  has the „orientation  $90^\circ$  with respect to  $R$ “, if there exists a number  $i$  with  $0 \leq i \leq n_h - 1$  such that the pixel  $p$  and the pixel 4 (or the pixel 8) in *fig 3.2.3* both are in the height-class  $T(i)$ .

$p$  has the „orientation  $135^\circ$  with respect to  $R$ “, if there exists a number  $i$  with  $0 \leq i \leq n_h - 1$  such that the pixel  $p$  and the pixel 5 (or the pixel 9) in *fig 3.2.3* both are in the height-class  $T(i)$ .

surroundings of a voxel p

1	2	3
8	P	4
7	6	5

*fig. 3.2.3: „orientation“*

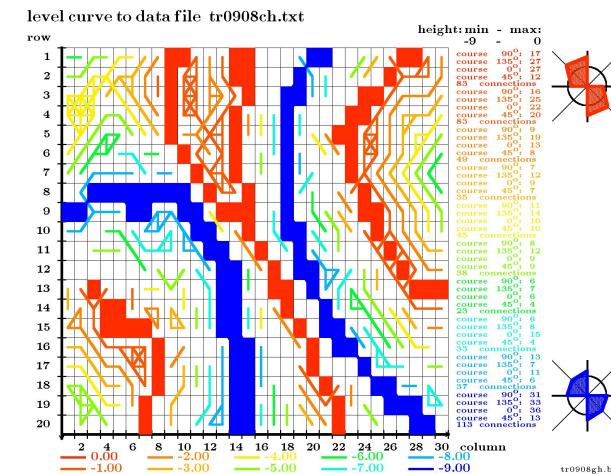
definition 3.2.5: A pixel  $p$  is „connected“ with a pixel  $q$  if the pixel  $q$  belongs to the surroundings of  $p$  in the sense of *fig. 3.2.3*.

definition 3.2.6: Let  $R$  be a rectangle in a landscape of voxels  $L(s, z)$ .

Then a „slip band  $S_R$  in  $R$ “ is defined by a set of  $n_S$  (with  $n_S > n_{slip}$ ) pixels  $p \in T(0)$  which are connected. - here we will use  $n_{slip} = 10$ .

We want to look for slip bands in *fig 3.1.2*:

we count (on the right side) 30 pixels (blue) which are connected and are in the height-class  $T(0)$  and on the left side 36 blue pixels. But a „slip band“ in the sense of the material science needs a high step - and such a high step is only on the right side between the blue and 25 pixels of the red line. - There we find a high step of 9.



Now we are able to formulate our problem:

1. From the material scientists we get a matrix with the height of  $1200 \times 2400$  pixels.
2. The position of the microscope in relation to the sample is (always) unknown.
3. We have to calculate different positions of the microscope - each of this positions give another landscape  $L(2400, 1200)$ .
4. We have to choose that landscape where we can find slip bands with maximal differences in the height of valley and hill (blue and red).

# 4 roughening of a surface (Sandstrahlen)

## 4.1 Modelling

We model the penetration of stones which strike the surface of a plate of iron. The result is a quite rough surface. For a simple comparison of different models of penetration we use such many stones, that the difference between the highest and the lowest voxel of the plate is  $10 \mu m$ .

Definition: A stone unit is a stone with side length 1 and quadratic base.

Assumption 4.1:

There are three different sizes of stones:

- size 1 (stone unit): A stone with side length 1. If a stone unit strikes the plate then 1 voxel is deepened.
- size 2: A stone with side length 2. The base of this stone insists on 4 stone units. If such a stone strikes the plate then 4 voxels are deepened.
- size 3: A stone with side length 3. The base of this stone insists on 9 stone units. which deepen the plate.

## 4.2 Three different sizes

Assumption 4.2:

In our first investigation we use  $\frac{n}{3}$  stones of size 1,  $\frac{n}{3}$  stones of size 2 and  $\frac{n}{3}$  stones of size 3, where  $n$  is the number of stones.

Assumption 4.3:

Each of these stones reaches the surface with a speed  $v$  - which is equal for all stones.

Assumption 4.4:

The position of the stone on the plate is determined by a random generator for each stone.

Assumption 4.5:

There exists a maximal penetration  $p_{max} < D$ , where  $D$  is the thickness of the plate

We choose  $p_{max} = 40 \mu m.$

**Remark 4.1:**

For each pixel  $(u, v)$  of a plate with  $s$  columns and  $z$  rows

$$\mathbf{O} := \{ (u, v) \in \mathbb{N}^2, 0 \leq u \leq s, -z \leq v \leq 0 \}$$

there exists a number  $n(u, v)$  of stone units which stroke this pixel.

**Remark 4.2:** There exists a mapping  $p$  from  $\mathbb{N}$  (the number of a striking the surface) into  $\mathbb{R}$ :

$$p : \mathbb{N} \rightarrow \mathbb{R},$$

where  $p(n(u, v))$  is the penetration on pixel  $(u, v)$

and  $p_{max} := \lim_{n \rightarrow \infty} p(n(u, v))$

**Question 4.1:**

How does a surface look after such a „stone-brush“?

### 4.3 linear, 685 stones, 3 different sizes

**Assumption L:**

$$e(u, v) := \left(1 - \frac{1}{n(u, v) + 1}\right) \cdot t_{max}$$

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	33.33	33.33	33.33	36.00	36.67	37.14	36.67	35.00	34.29	33.33	34.29	34.29	35.00	34.29	35.00	36.00
2	32.00	32.00	33.33	36.00	36.00	36.36	36.00	35.56	36.67	36.36	33.33	36.00	35.00	36.00	35.00	36.92
3	33.33	35.56	35.56	36.00	36.00	36.00	35.56	35.00	36.36	36.00	34.29	35.56	35.00	35.56	36.00	36.36
4	36.00	36.67	36.92	36.92	37.33	35.00	36.00	35.56	36.00	35.56	35.00	35.00	35.00	35.00	32.00	36.00
5	36.92	36.92	36.92	36.67	36.92	33.33	33.33	34.29	36.00	36.36	35.56	34.29	36.36	35.56	32.00	32.00
6	36.00	37.14	37.33	37.14	36.00	34.29	35.00	34.29	36.00	37.33	37.14	35.00	35.56	35.00	34.29	30.00
7	36.00	37.14	37.33	36.36	35.00	36.00	36.00	36.00	36.67	37.14	36.92	34.29	34.29	35.00	35.56	34.29
8	35.56	35.56	35.00	35.56	35.00	35.56	36.00	35.56	35.00	36.36	36.67	36.92	36.67	36.36	36.36	35.56
9	35.56	35.56	35.56	36.92	36.67	36.67	36.92	35.00	33.33	35.00	35.00	36.00	37.14	37.33	36.92	36.92
10	36.92	36.36	35.56	36.92	36.67	37.33	36.67	35.00	26.67	33.33	36.00	36.67	36.92	37.14	37.14	37.78
11	35.00	36.67	36.92	37.33	36.92	37.65	36.00	36.00	32.00	35.00	36.36	36.00	36.36	36.67	36.67	37.14
12	34.29	36.36	36.92	36.67	36.92	36.92	35.56	35.56	33.33	34.29	36.00	36.36	36.92	36.00	36.36	34.29
13	34.29	34.29	36.92	36.67	36.67	36.00	35.56	35.56	32.00	36.36	36.67	36.00	34.29	35.56	36.92	36.67
14	36.67	36.67	36.67	36.00	35.00	35.00	34.29	36.00	35.56	36.00	35.00	35.56	34.29	36.67	36.92	36.00
15	37.14	37.14	36.36	36.67	36.67	36.36	35.56	36.00	35.56	35.00	36.67	36.92	36.67	36.36	35.56	35.00
16	36.92	36.36	35.00	33.33	35.56	36.92	36.92	37.14	36.00	36.36	37.14	36.67	37.14	35.00	35.56	34.29
17	36.36	35.00	34.29	32.00	35.00	37.14	37.78	37.33	35.56	36.36	36.92	36.36	36.36	35.56	32.00	33.33
18	35.00	33.33	33.33	34.29	36.36	35.56	37.65	37.33	35.56	34.29	36.00	35.56	34.29	33.33	33.33	35.00
19	35.00	33.33	32.00	33.33	34.29	33.33	36.67	36.36	35.56	35.56	36.92	35.56	32.00	32.00	36.00	36.00
20	35.56	36.36	35.56	33.33	33.33	33.33	32.00	33.33	35.00	35.56	36.00	35.00	30.00	33.33	34.29	36.00
21	35.56	36.67	36.67	35.56	34.29	35.00	34.29	34.29	33.33	36.00	36.36	35.00	32.00	33.33	33.33	36.00
22	34.29	36.36	36.36	35.56	32.00	32.00	32.00	35.00	35.00	36.67	36.36	36.36	33.33	34.29	32.00	34.29

We have to use colors for more information

**Assumption L:**

$$e(u, v) := \left(1 - \frac{1}{n(u, v) + 1}\right) \cdot t_{max}$$

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	33.33	33.33	33.33	36.00	36.67	37.14	36.67	35.00	34.29	33.33	34.29	34.29	35.00	34.29	35.00	36.00
2	32.00	32.00	33.33	36.00	36.00	36.36	36.00	35.56	36.67	36.36	33.33	36.00	35.00	36.00	35.00	36.92
3	33.33	35.56	35.56	36.00	36.00	36.00	35.56	35.00	36.36	36.00	34.29	35.56	35.00	35.56	36.00	36.36
4	36.00	36.67	36.92	36.92	37.33	35.00	36.00	35.56	36.00	35.56	35.00	35.00	35.00	35.00	32.00	36.00
5	36.92	36.92	36.92	36.67	36.92	33.33	33.33	34.29	36.00	36.36	35.56	34.29	36.36	35.56	32.00	32.00
6	36.00	37.14	37.33	37.14	36.00	34.29	35.00	34.29	36.00	37.33	37.14	35.00	35.56	35.00	34.29	30.00
7	36.00	37.14	37.33	36.36	35.00	36.00	36.00	36.00	36.67	37.14	36.92	34.29	34.29	35.00	35.56	34.29
8	35.56	35.56	35.00	35.56	35.00	35.56	36.00	35.56	35.00	36.36	36.67	36.92	36.67	36.36	36.36	35.56
9	35.56	35.56	35.56	36.92	36.67	36.67	36.92	35.00	33.33	35.00	35.00	36.00	37.14	37.33	36.92	36.92
10	36.92	36.36	35.56	36.92	36.67	37.33	36.67	35.00	26.67	33.33	36.00	36.67	36.92	37.14	37.14	37.78
11	35.00	36.67	36.92	37.33	36.92	37.65	36.00	36.00	32.00	35.00	36.36	36.00	36.36	36.67	36.67	37.14
12	34.29	36.36	36.92	36.67	36.92	36.92	35.56	35.56	33.33	34.29	36.00	36.36	36.92	36.00	36.36	34.29
13	34.29	34.29	36.92	36.67	36.67	36.00	35.56	35.56	32.00	36.36	36.67	36.00	34.29	35.56	36.92	36.67
14	36.67	36.67	36.67	36.00	35.00	35.00	34.29	36.00	35.56	36.00	35.00	35.56	34.29	36.67	36.92	36.00
15	37.14	37.14	36.36	36.67	36.67	36.36	35.56	36.00	35.56	35.00	36.67	36.92	36.67	36.36	35.56	35.00
16	36.92	36.36	35.00	33.33	35.56	36.92	36.92	37.14	36.00	36.36	37.14	36.67	37.14	35.00	35.56	34.29
17	36.36	35.00	34.29	32.00	35.00	37.14	37.78	37.33	35.56	36.36	36.92	36.36	36.36	35.56	32.00	33.33
18	35.00	33.33	33.33	34.29	36.36	35.56	37.65	37.33	35.56	34.29	36.00	35.56	34.29	33.33	33.33	35.00
19	35.00	33.33	32.00	33.33	34.29	33.33	36.67	36.36	35.56	35.56	36.92	35.56	32.00	32.00	36.00	36.00
20	35.56	36.36	35.56	33.33	33.33	33.33	32.00	33.33	35.00	35.56	36.00	35.00	30.00	33.33	34.29	36.00
21	35.56	36.67	36.67	35.56	34.29	35.00	34.29	34.29	33.33	36.00	36.36	35.00	32.00	33.33	33.33	36.00
22	34.29	36.36	36.36	35.56	32.00	32.00	32.00	35.00	35.00	36.67	36.36	36.36	33.33	34.29	32.00	34.29

Tab. 2.3: linear, 685 stones, 3 different sizes

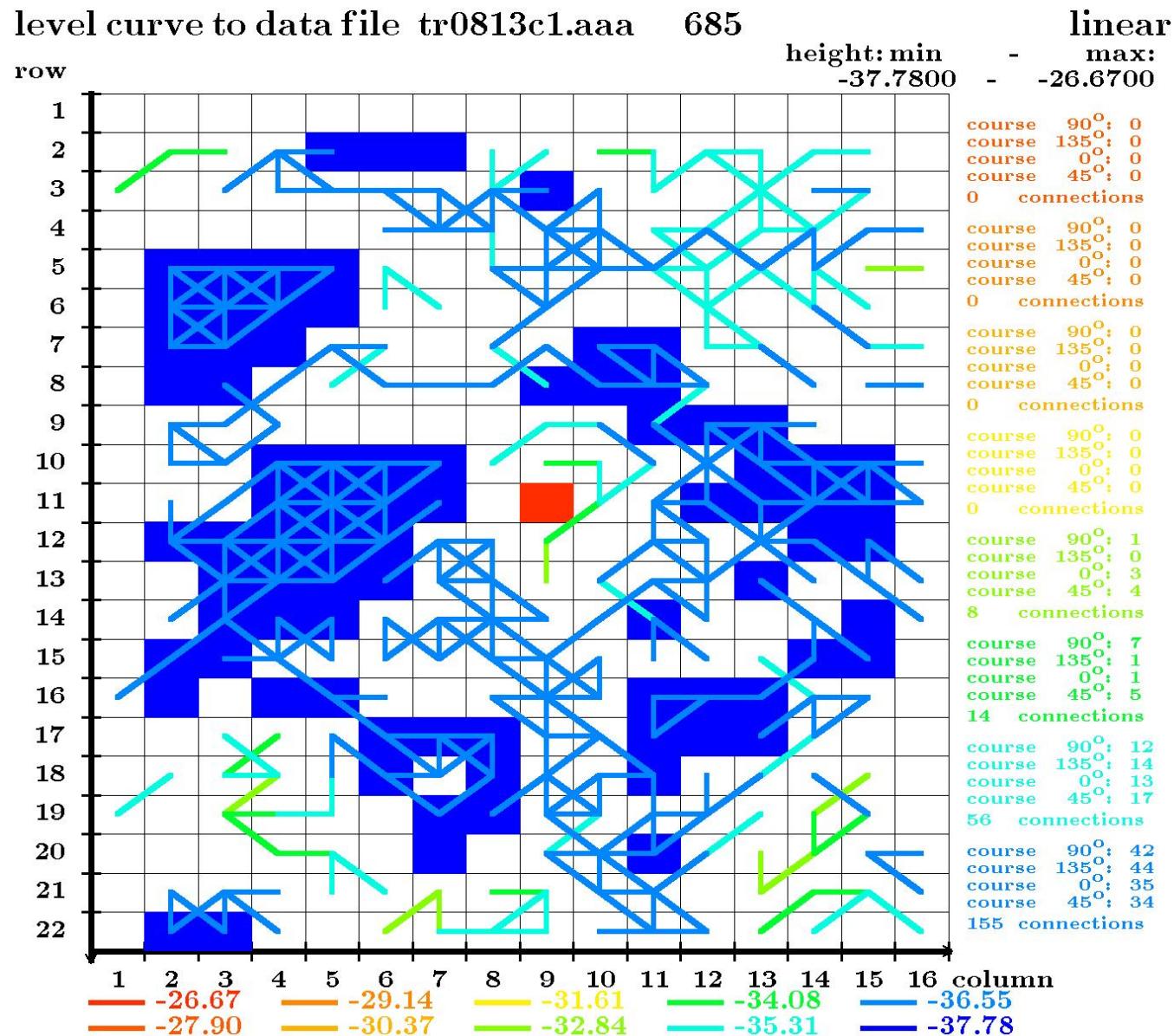


fig. 2.3: linear, 685 stones, 3 different sizes

## 2.4 quadratic

**Assumption Q:**

$$e(u, v) := \left( 1 - \frac{1}{(n(u, v) + 1)^2} \right) \cdot t_{max}$$

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	37.50	37.50	38.40	38.89	38.89	39.18	38.89	37.50	37.50	35.56	35.56	35.56	37.50	37.50	38.40	39.18
2	37.50	37.50	38.40	38.89	35.56	35.56	38.40	38.40	39.38	39.18	37.50	38.40	38.89	39.38	38.40	39.51
3	37.50	39.38	39.38	39.38	38.40	30.00	35.56	37.50	39.38	39.18	38.89	39.38	39.38	39.38	39.18	39.38
4	39.18	39.67	39.67	39.67	39.67	37.50	38.40	37.50	39.18	38.89	38.89	39.18	39.18	39.18	38.40	39.38
5	39.67	39.67	39.60	39.51	39.51	38.40	38.40	37.50	39.18	39.38	39.18	38.89	39.60	39.38	38.40	38.40
6	39.51	39.72	39.60	39.51	39.18	38.89	38.89	37.50	39.18	39.60	39.38	38.89	38.89	38.89	38.89	35.56
7	39.51	39.72	39.60	38.89	38.40	39.18	39.38	39.18	39.51	39.67	39.51	38.40	38.40	38.89	39.18	38.40
8	38.89	38.89	38.89	39.18	38.89	39.18	39.38	38.89	38.89	39.38	39.51	39.60	39.60	39.51	39.51	39.38
9	39.18	39.18	38.40	39.51	39.51	39.60	39.51	38.89	38.40	39.18	39.38	39.18	39.51	39.60	39.67	39.67
10	39.38	39.38	38.40	39.51	39.60	39.60	39.18	38.40	35.56	38.40	39.18	39.18	38.40	39.18	39.67	39.84
11	38.40	39.51	39.51	39.72	39.60	39.80	38.89	38.89	38.40	38.40	39.18	38.89	35.56	37.50	39.18	39.72
12	38.40	39.18	39.38	39.51	39.60	39.67	38.40	38.89	38.89	38.40	38.40	38.89	38.89	38.40	38.40	38.40
13	37.50	37.50	39.60	39.51	39.60	39.18	38.89	38.89	37.50	39.51	39.18	39.18	35.56	37.50	38.40	39.18
14	39.38	39.51	39.38	39.18	39.18	38.40	35.56	38.40	38.89	39.18	38.40	38.40	35.56	39.38	39.18	39.18
15	39.67	39.67	39.18	39.51	39.38	38.89	38.40	38.40	38.40	37.50	39.18	39.18	38.89	39.51	38.40	37.50
16	39.67	39.51	38.89	38.40	38.40	39.38	39.60	39.60	38.89	39.18	39.18	38.40	39.18	37.50	38.89	37.50
17	39.38	38.89	37.50	35.56	38.89	39.60	39.72	39.67	39.18	39.18	39.51	38.40	38.89	39.18	38.40	37.50
18	37.50	38.40	38.40	38.89	39.38	38.89	39.76	39.60	38.89	38.40	38.40	37.50	35.56	38.89	38.89	39.18
19	37.50	35.56	37.50	38.40	38.89	38.40	39.38	38.89	38.89	38.40	38.40	37.50	37.50	37.50	39.51	39.51
20	38.40	38.89	38.40	38.40	37.50	38.40	38.40	37.50	38.40	35.56	35.56	37.50	35.56	38.40	38.89	39.38
21	35.56	38.89	39.18	38.89	35.56	38.40	39.18	38.89	35.56	37.50	30.00	35.56	35.56	38.40	37.50	39.18
22	37.50	39.38	39.51	39.18	35.56	35.56	37.50	39.18	38.89	39.18	37.50	38.89	35.56	38.40	30.00	37.50

Tab. 2.4: quadratic, 450 stones, 3 different sizes

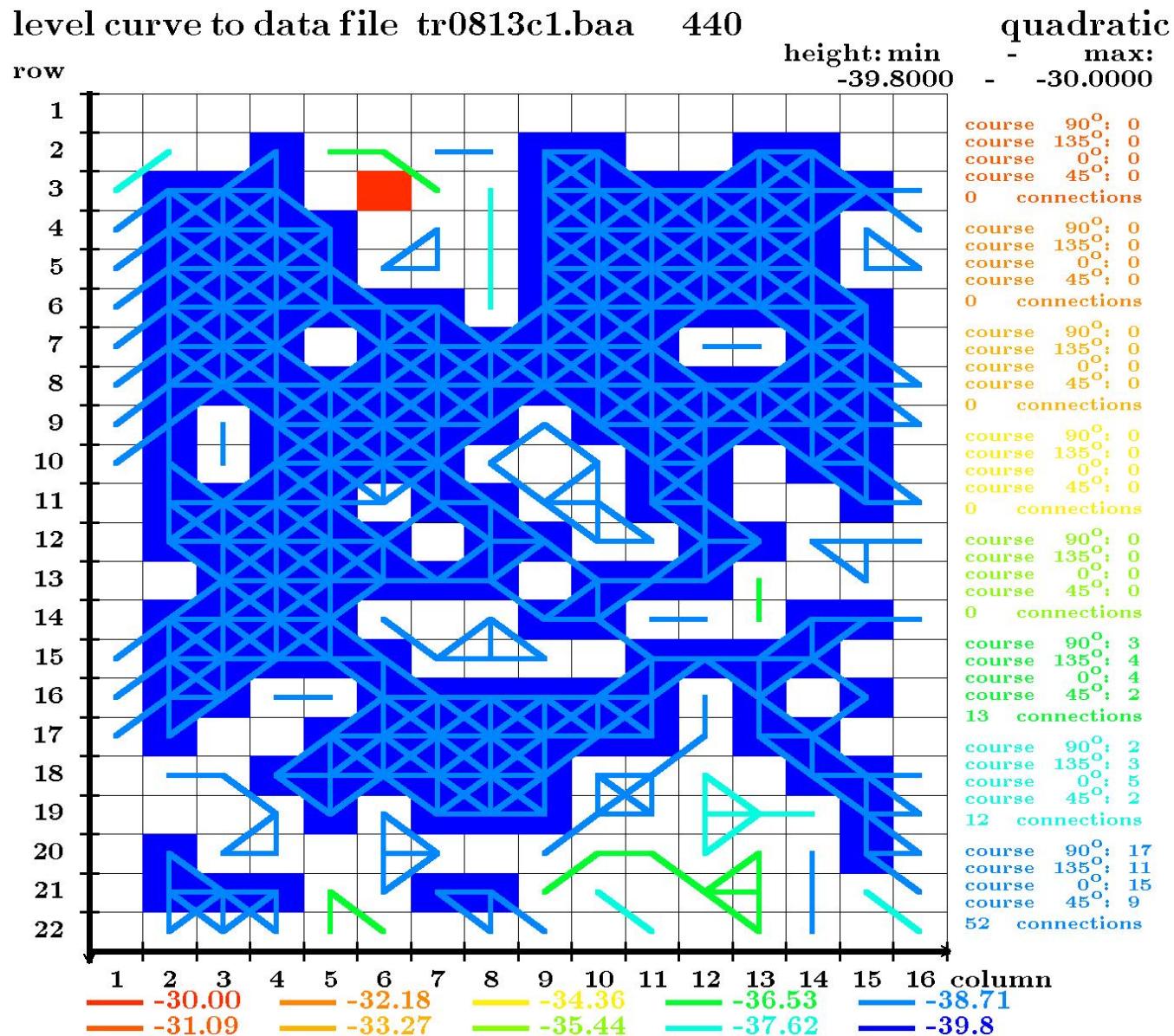


fig. 2.4: quadratic, 440 stones, 3 different sizes

## 2.5 root

**Assumption R:**

$$e(u, v) := \left( 1 - \frac{1}{\sqrt{n(u, v) + 1}} \right) \cdot t_{max}$$

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	27.94	28.45	28.91	29.31	30.00	30.82	29.67	27.35	26.67	27.35	25.86	25.86	26.67	26.67	28.91	30.00
2	26.67	27.94	29.67	28.91	28.91	29.67	29.31	28.91	30.00	30.30	26.67	28.91	27.35	28.45	25.86	30.00
3	26.67	28.91	30.00	28.91	28.91	29.67	28.91	28.45	29.31	28.91	28.45	29.31	28.45	28.45	28.45	29.67
4	28.45	29.67	30.57	30.57	31.47	29.31	29.67	29.31	28.45	27.35	28.45	28.45	28.91	27.94	24.88	28.91
5	29.31	30.00	30.30	30.57	31.47	27.94	26.67	26.67	27.35	27.94	26.67	27.35	29.67	27.94	22.11	23.67
6	27.94	30.57	30.57	30.57	28.45	26.67	26.67	26.67	27.94	30.00	30.30	28.91	29.67	27.94	24.88	20.00
7	28.45	30.30	30.57	29.31	27.35	28.91	27.94	28.45	29.31	29.67	30.30	28.91	28.91	27.35	27.35	26.67
8	28.91	28.45	27.35	27.94	26.67	27.94	28.91	28.91	28.45	29.31	29.31	30.30	30.57	29.31	29.31	28.45
9	29.31	28.45	27.35	29.67	29.67	30.00	30.57	28.91	27.35	28.45	27.94	28.45	30.57	30.82	30.30	29.31
10	30.57	30.00	27.94	30.30	30.30	31.27	30.30	27.94	24.88	28.45	28.91	30.00	30.57	30.82	30.57	31.47
11	27.35	29.67	30.30	30.82	30.30	31.66	30.30	29.67	25.86	28.45	29.31	28.91	28.45	30.57	30.30	30.82
12	25.86	28.45	29.67	30.30	30.82	30.82	29.31	29.31	27.94	27.94	28.91	28.45	29.31	28.45	28.91	27.94
13	25.86	26.67	29.67	30.57	30.00	28.91	29.31	29.31	27.35	28.91	29.31	29.31	27.94	28.45	30.00	30.82
14	28.91	29.67	30.00	28.45	28.45	28.45	27.35	28.45	28.45	28.45	27.35	28.91	27.94	30.30	30.00	30.00
15	29.31	29.67	28.91	29.67	29.31	28.91	27.94	28.91	28.91	26.67	29.31	30.00	30.57	30.57	28.91	29.67
16	30.00	29.31	27.94	26.67	27.35	30.00	30.57	31.06	30.30	29.67	30.82	30.00	30.30	28.91	29.31	29.67
17	29.31	27.94	26.67	25.86	27.94	30.57	31.27	31.06	29.31	30.00	30.57	30.00	29.31	27.94	25.86	27.94
18	27.94	27.35	27.35	27.94	29.67	28.45	31.06	31.06	28.91	27.35	28.91	28.45	25.86	23.67	24.88	28.45
19	27.35	27.35	27.94	26.67	28.45	28.91	30.30	30.00	28.45	28.45	30.00	28.45	23.67	23.67	27.94	27.94
20	28.45	30.00	30.57	26.67	27.35	26.67	27.35	28.45	28.45	29.67	30.00	28.91	22.11	24.88	25.86	28.45
21	27.94	29.31	29.67	28.45	27.35	27.94	28.45	27.94	27.94	29.31	30.57	29.31	27.35	28.45	27.35	29.31
22	27.35	29.31	29.31	29.67	28.45	26.67	24.88	27.94	29.31	29.67	29.67	29.67	27.35	28.45	27.35	27.94

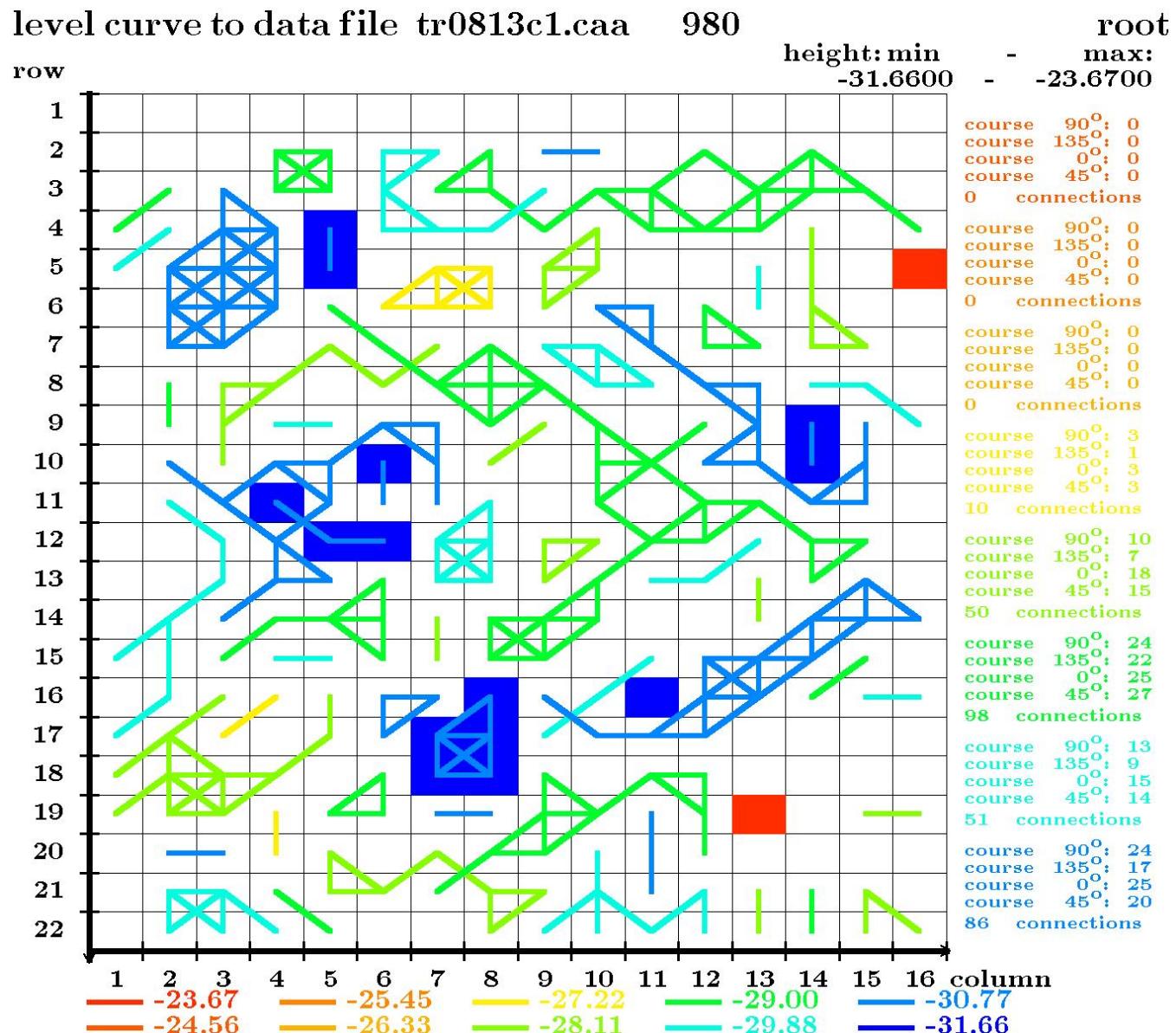
Tab. 2.5: root, 980 stones, 3 different sizes

min=-31.66 max=-20.00 mittlere Tiefe=-28.67

mittlere Füllhöhe (= mittlere Tiefe - min =) 2.99

Mittlere Rauheit: 1.04

Mittlere quadratische Abweichung von der mittleren Füllhöhe: 2.23



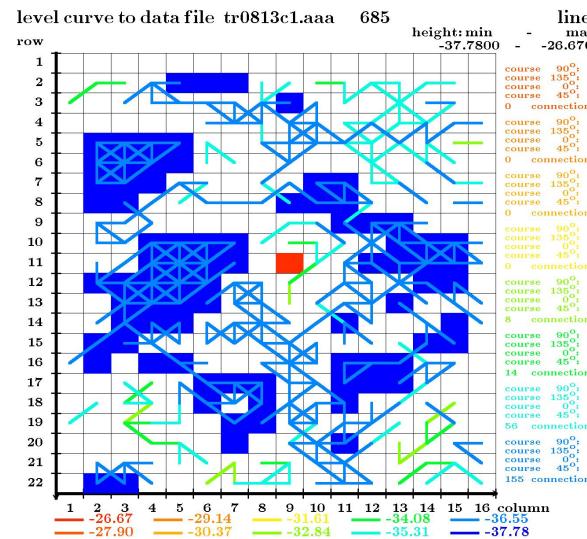


fig. 2.3:

linear, 685 stones, 3 different sizes

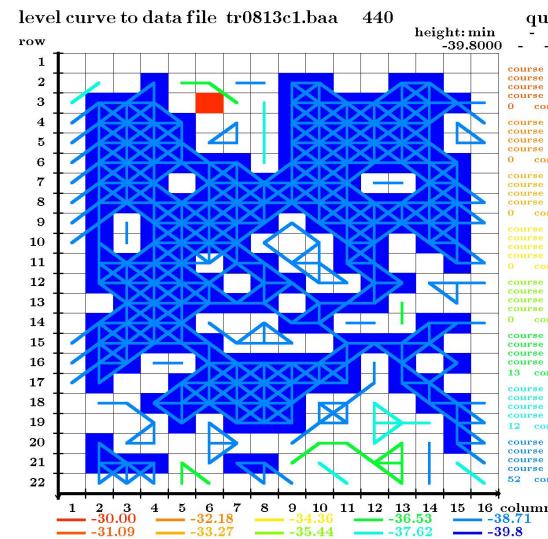


fig. 2.4:

quadratic, 440 stones, 3 different sizes

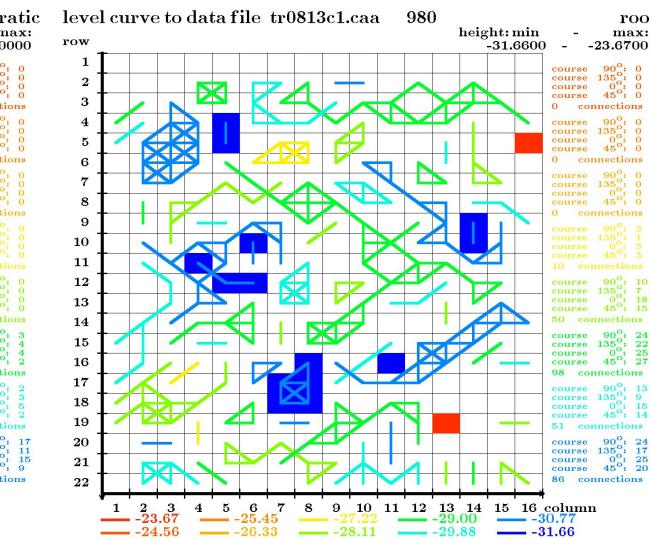


fig. 2.5:

root, 980 stones, 3 different sizes

Of course we have to look for other combinations:

1. what happens if we use double of stones?

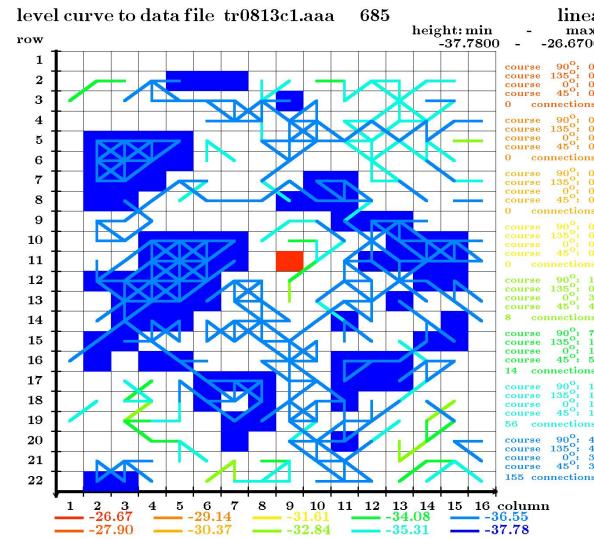


fig. 2.3:  
linear, 685 stones, 3 different sizes

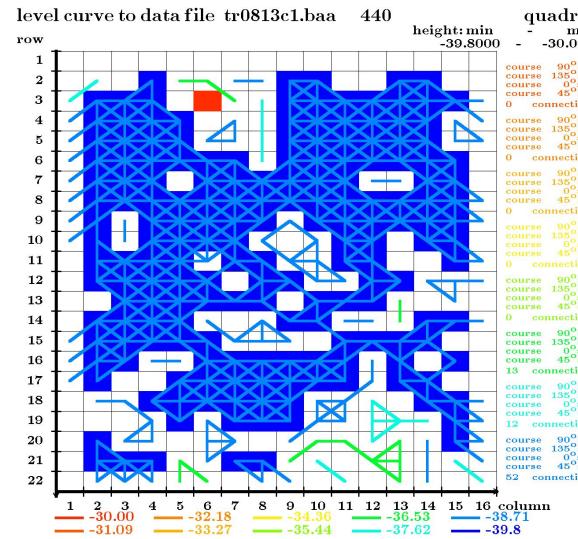


fig. 2.4:  
quadratic, 440 stones, 3 different sizes

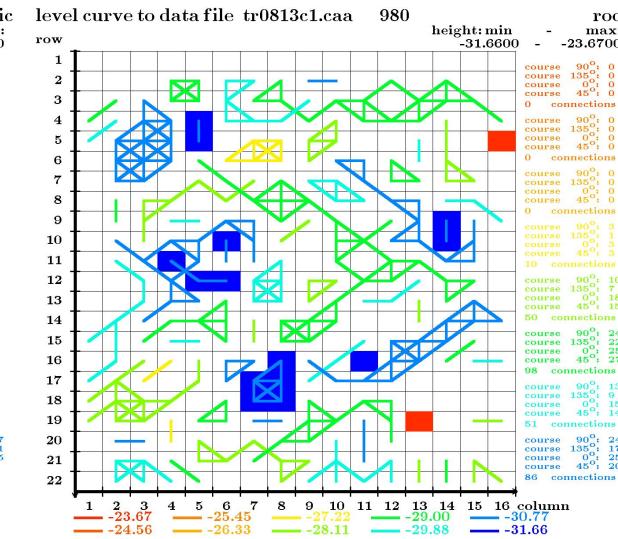


fig. 2.5:  
root, 980 stones, 3 different sizes

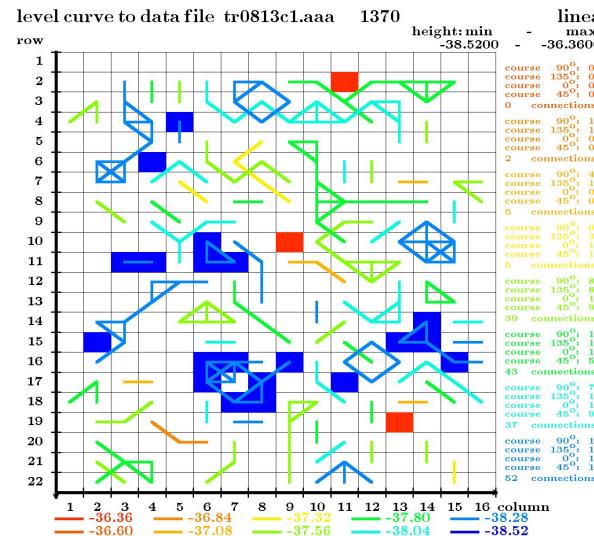


fig. 2.6:  
linear, 1370 stones, 3 different sizes

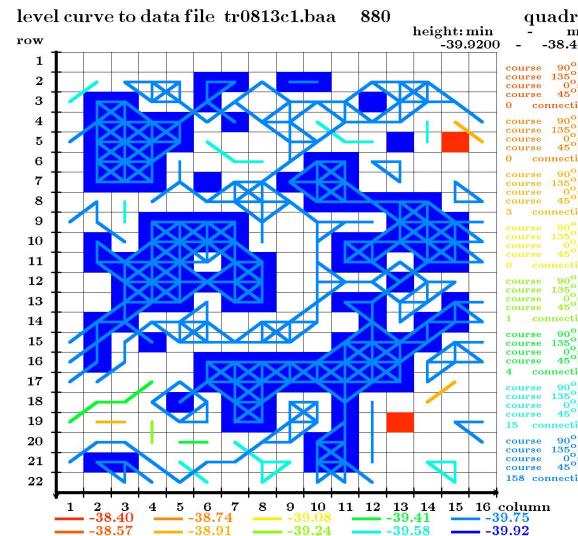


fig. 2.7:  
quadratic, 880 stones, 3 different sizes

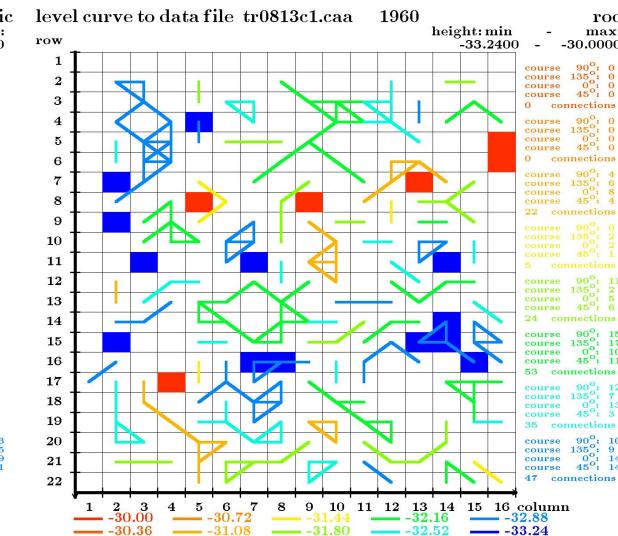


fig. 2.8:  
root, 1960 stones, 3 different sizes

And we have to look for other combinations:

2. what happens if we use other combinations of

stones of size 1, stones of size 2 and stones of size 3

for instance: such that the weight of the stones of size 1 is equal to the weight of the stones of the other sizes?

3. what happens if we use stones of only one size?

4. (general:) what happens if  $n$  stones impact a metal at only one point (what is the depth of penetration of  $n$  stones)?

In the first part of this investigation we constructed roughened surfaces.

In a second part we need measurements of a real roughened surface.

These measurements should give the possibility to compare

- the „pictures“ with its interpretations which we constructed before  
with
- the real data

May be we find an affinity between reality and a geometrical description - and therefore also an idea for a mathematical description of the penetration.

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Perhaps you think that I use worse definitions-and perhaps you are right.

Sometimes it is very necessary and very interesting to work together with other scientific people: there are a lot of unsolved geometrical problems<sup>2)</sup>)

Therefore:

Thank you for listening

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<sup>2)</sup>) But there are extremely few possibilities for publication.